# Applying Counting Techniques To Sudoku 

Shelly Smith

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| 8 | 1 | 4 | 6 | 7 | 9 | 2 | 3 | 5 |
| 7 | 4 | 8 | 5 | 3 | 1 | 6 | 9 | 2 |
| 6 | 9 | 1 | 7 | 4 | 2 | 5 | 8 | 3 |
| 5 | 3 | 2 | 9 | 6 | 8 | 1 | 7 | 4 |
| 1 | 6 | 9 | 4 | 8 | 5 | 3 | 2 | 7 |
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$$
4!=24
$$

## Multiple fixed entries

- Inclusion-Exclusion


## 2 or 5 are fixed entries

2
or 5

## Multiple fixed entries

- Inclusion-Exclusion 2 or 5 are fixed entries
$\qquad$

$$
\begin{aligned}
|\underline{2} \cup \underline{5}| & =|\underline{2}|+|\underline{5}|-|\underline{2} \cap \underline{5}| \\
& =4!+4!-3! \\
& =42
\end{aligned}
$$



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Counting derangements is difficult, so we will count permutations with fixed entries and subtract the result from 5!.

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$$
31452
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$$
\begin{aligned}
5!-|\underline{1} \cup \underline{2} \cup \underline{3} \cup \underline{4} \cup \underline{5}| & =5!-(5 \cdot 4!-10 \cdot 3!+10 \cdot 2!-5 \cdot 1!+1 \cdot 0!) \\
& =120-5 \cdot 24+10 \cdot 6-10 \cdot 2+5 \cdot 1-1 \cdot 1) \\
& =44
\end{aligned}
$$

## Permutations with forbidden positions

- Recall our first permutation.

$$
42153
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\frac{3}{3} & 1 & \frac{1}{5} & 2 & \frac{4}{4}
\end{array}
$$ derangements: 44.

- What if we want a third permutation with forbidden positions?

$$
5!-r_{1} 4!+r_{2} 3!-r_{3} 2!+r_{4} 1!-r_{5} 0!
$$

where $r_{i}$ is the number of ways to place $i$ digits in forbidden positions

## Equivalence Relations

A relation $R$ on a set $S$ is a subset of $S \times S$. We say that $a$ is related to $b, a \sim b$, if and only if $(a, b) \in R$.

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Symmetric. For all $a, b \in S$, if $a \sim b$, then $b \sim a$.

Transitive. For all $a, b, c \in S$, if $a \sim b$ and $b \sim c$, then $a \sim c$.

## Sudoku terminology

## Sudoku

Block

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| 1 | 6 | 9 | 4 | 8 | 5 | 3 | 2 | 7 |
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Band

| 9 | 5 | 3 | 2 | 1 | 4 | 7 | 6 | 8 |
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| 6 | 9 | 1 | 7 | 4 | 2 | 5 | 8 | 3 |
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| 1 | 6 | 9 | 4 | 8 | 5 | 3 | 2 | 7 |
| 3 | 2 | 5 | 1 | 9 | 7 | 8 | 4 | 6 |
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Stack

| 9 | 5 | 3 | 2 | 1 | 4 | 7 | 6 | 8 |
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## An Equivalence Relation on Sudoku Boards

## Definition

(Russell and Jarvis) Let $A$ and $B$ be two Sudoku boards, then $A \sim B$ if and only if $A$ can be transformed into $B$ using one or more of the following operations:

- Permute the nine digits
- Permute the three stacks
- Permute the three bands
- Permute the three columns within a stack
- Permute the three rows within a band
- Reflect across a diagonal, vertical or horizontal axis
- $0^{\circ}, 90^{\circ}, 180^{\circ}$ or $270^{\circ}$ clockwise rotation


## Greater/less Sudoku

Greater/less Sudoku


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Big Questions

- How can we create a Greater/less Sudoku puzzle?
- How many Greater/less Sudoku puzzles are there?


## Greater/less Sudoku

## Starting Small

- Start with one block. There are 9 ! $=362880$ ways to fill in the 9 digits, but only $2^{12}=4096$ ways to choose the 12 inequalities.



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- Define an equivalence relation on the set of permutations, on the set on inequalities.


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Starting Small

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There are $9!=362880$ ways to fill in the 9 digits, but only $2^{12}=4096$ ways to choose the 12 inequalities.

- Define an equivalence relation on the set of permutations, on the set on inequalities.
- Combine blocks that will satisfy the conditions of Sudoku.


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- Start with one block.

There are $9!=362880$ ways to fill in the 9 digits, but only $2^{12}=4096$ ways to choose the 12 inequalities.

- Define an equivalence relation on the set of permutations, on the set on inequalities.
- Combine blocks that will satisfy the conditions of Sudoku.
- Start with smaller versions of Greater/less Sudoku.

